

UNITED STATES DEPARTMENT OF COMMERCE
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Washington, D.C. 20231

APPLICATION NUMBER: 237-718 FILING/RECEIPT DATE: 01/26/99 FIRST NAMED APPLICANT: LANDSMAN ATTORNEY DOCKET NO./TITLE: R UNICAST-401P

1007265
MICHAELSON AND WALLACE
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RED BANK N.J. 07701

0232/0211

NIT ASSIGNED

2761

DATE MAILED: 02/11/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 of 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$139.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items of this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 810.

- ☒ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ 100 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ including any multiple dependent claim fees, are required.

\$ for independent claims over 3.
\$ for dependent claims over 20.

for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☒ 7. Your filing receipt was mailed in error because your check was returned without payment.
☒ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825".
☒ 9. OTHER:

Direct reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Custom Service Center
Initial Patent Examination Division (703) 308-1202

PART 2: COPY TO BE RETURNED WITH RESPONSE

MICHAELSON & WALLACE
A Partnership of Intellectual Property and Technology Lawyers

March 17, 1999

PATENT APPLICATION



Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D. C. 20231

Sir:

In response to a "Notice to File Missing Parts of Application" (PTO-1533) we received in connection with the following US patent application:

Applicants: **Rick W. LANDSMAN, Wei-Yeh LEE**

Title of application: **A TECHNIQUE FOR IMPLEMENTING
BROWSER-INITIATED
USER-TRANSPARENT
NETWORK-DISTRIBUTED ADVERTISING
AND FOR INTERSTITIALLY
DISPLAYING AN ADVERTISEMENT, SO
DISTRIBUTED, THROUGH A WEB
BROWSER IN RESPONSE TO A USER
CLICK-STREAM**

Serial No.: **09/237,718**

Filed: **January 26, 1999**

Attorney Docket No.: **Unicast-1CIP**

We enclose the following material herewith:

- XX** Postcard receipt;
- XX** Our check in the amount of \$ 894.00 to cover the basic filing fee of \$ 764.00, the surcharge under 37 CFR 1.16(e) in the amount of \$ 130.00 and assignment recordation fee of \$ 40.00;
- XX** Executed Declaration and Power of Attorney (4 pps);
- XX** Assignment with Recordation Form Cover Sheet to Unicast Communications Corporation (3 pps);
- XX** Disclosure Statement, modified PTO-1449 and Fourteen (14) references (References AA-AN); and
- XX** Copy of PTO-1533 (Notice to File Missing Parts) issued in connection with this application.

Peter L. Michaelson
NJ, NY, PA Bars

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NY, CA Bars

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NJ, NY Bars

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- ☐ 1001 Partridge Drive
Suite 330
Ventura, CA 93003
Tel: 805-644-4035
Fax: 805-644-8046

In the event, we have indicated that a check is enclosed and this check is missing, is for an insufficient amount or is unacceptable in any way, please charge the applicable fees to our Deposit Account Number **13-3083**. A copy of this letter is also enclosed herewith.

Respectfully submitted,

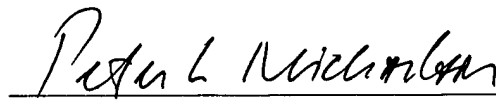
MICHAELSON & WALLACE



Peter L. Michaelson, Attorney
Reg. No. 30,090
(732) 530-6671

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on **March 17, 1999** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, **Box Missing Parts**, Washington, D.C. 20231.


Signature

30,090
Reg. No.

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Doc. No. Unicast-1CIP

January 26, 1999

PATENT APPLICATION

Assistant Commissioner of Patents
Box **Patent Application**
Washington, D. C. 20231

Sir:

Enclosed herewith for filing is the following
utility patent application:

Applicants: Rick W. LANDSMAN, Wei-Yeh LEE

Title of application: **A TECHNIQUE FOR IMPLEMENTING BROWSER-INITIATED USER-TRANSPARENT NETWORK-DISTRIBUTED ADVERTISING AND FOR INTERSTITIALLY DISPLAYING AN ADVERTISEMENT, SO DISTRIBUTED, THROUGH A WEB BROWSER IN RESPONSE TO A USER CLICK-STREAM**

Pages of application: 100 (inclusive of 1 page of claims
2 pages of abstract)

Sheets of drawings: **26** (FIGs. 1A-20)

Docket No.: **Unicast-1CIP**

PATENT APPLICATION FILING FEE CALCULATION

	<u>No. Filed</u>	<u>Less</u>	<u>Rate/Claim</u>	<u>Fee</u>
Total				
Claims	<u>1</u>	-20	0 x \$18.00	<u>\$ 00.00</u>
Independent				
Claims	<u>1</u>	-3	0 x \$78.00	<u>\$ 00.00</u>
			Minimum Filing Fee	<u>\$ 760.00</u>
			Multiple Dependency Fee	
			(if applicable - \$260.00)	<u>\$ 00.00</u>
			50% Reduction for Small Entity	
			(Independent Inventor, Non-profit	
			Corporation, or Small Business	
			Concern) - appropriate	
			verified statement attached	<u>\$- 00.00</u>
			TOTAL FILING FEE	<u>\$ 760.00</u>
			TOTAL FEES ENCLOSED	<u>\$ 00.00</u>

- 1 -

EXPRESS MAIL CERTIFICATE APPEARS ON LAST PAGE